

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peter B. Dervan et al.  
Title: INHIBITION OF ONCOGENE  
TRANSCRIPTION BY SYNTHETIC  
POLYAMIDES

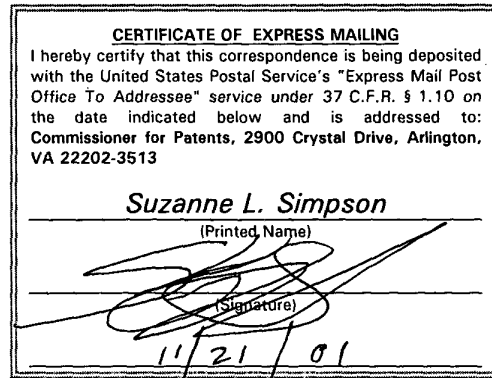
U.S. Appl. 09/807,354  
No.:

Intl. Appl. PCT/US99/20489  
No.

Filing 10 September 1999  
Date:

Examiner: TBA

Art Unit: TBA



**TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on 25 June, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [ X ] Declaration and Power of Attorney (3 pages).
- [ X ] Sequence Listing-Hard copy.
- [ X ] Sequence Listing-Computer readable version ( 1 Diskette).
- [ X ] Sequence Listing Amendment with version showing changes.
- [ X ] Copy of Notification of Missing Requirements Under 35 U.S.C. 371.
- [ X ] Other: Petition for Extension of Time.
- [ X ] Assignment with Recordation Sheet and payment of the required fee.

- [ X ] Check in the amount of \$ 500.00 in payment of surcharge fee  
(37 C.F.R. § 1.16(e)), filing fee.
- [ ] Please charge Deposit Account No. 50-0872 in the amount of \$0.00  
in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date November 21, 2001

By Michael A. Whittaker

FOLEY & LARDNER  
P.O. Box 80278  
San Diego, California 92138-0278  
Telephone: (858) 847-6721  
Facsimile: (858) 792-6773

MICHAEL A. WHITTAKER  
Attorney for Applicant  
Registration No. 46,230

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peter B. Dervan et al.

Title: INHIBITION OF ONCOGENE  
TRANSCRIPTION BY SYNTHETIC  
POLYAMIDES

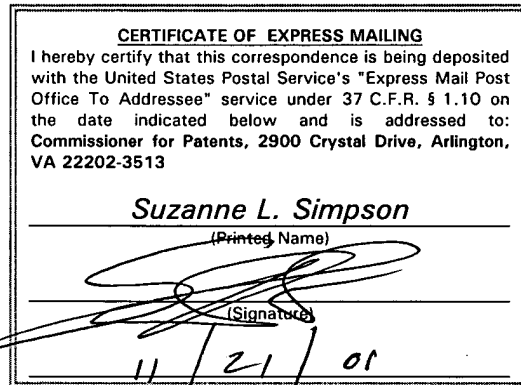
U.S. Appl. No.: 09/807,354

Intl. Appl. No.: PCT/US99/20489

Filing Date: 10 September 1999

Examiner: TBA

Art Unit: TBA

**TRANSMITTAL FOR PRELIMINARY AMENDMENT**

Commissioner for Patents  
Box AF  
Washington, D.C. 20231

Sir:

11/29/2001 LLANDGRA 00000035 09807354

02 FC:969

140.00 0P

Transmitted herewith is an amendment in the above-identified application.

☒ [ X ] Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a Small Entity statement previously submitted.

☐ [ ] Small Entity statement is enclosed.

☒ [ X ] The fee required for additional claims is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Additional Claims Fee
Total Claims:	16	— 25	= 0	x \$18.00	= \$0.00
Independents:	1	— 3	= 0	x \$84.00	= \$0.00
First presentation of any Multiple Dependent Claims:			+	\$280.00	= \$280.00
CLAIMS FEE TOTAL:					= \$280.00

☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

<input type="checkbox"/>	Extension for response filed within the first month:	\$110.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the second month:	\$400.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the third month:	\$920.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fourth month:	\$1,440.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fifth month:	\$1,960.00	\$0.00
EXTENSION FEE TOTAL:			\$0.00
CLAIMS AND EXTENSION FEE TOTAL:			\$280.00
<input checked="" type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):		\$140.00
TOTAL FEE:			\$140.00

☐ Please charge Deposit Account No. 50-0872 in the amount of \$140.00. A duplicate copy of this transmittal is enclosed.

☒ A check in the amount of \$140.00 is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date November 21, 2001

By 

FOLEY & LARDNER  
P.O. Box 80278  
San Diego, California 92138-0278  
Telephone: (858) 847-6721  
Facsimile: (858) 792-6773

Michael A. Whittaker  
Attorney for Applicant  
Registration No. 46,230



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/807354	DERVAN	P 025098/0603
		INTERNATIONAL APPLICATION NO.
		PCT/US99/20489

FOLEY & LARDNER  
402 W BROADWAY  
SUITE 2300  
SAN DIEGO, CA 92101 3542RECEIVED  
JUN 28 2001I. P. DEPT.  
FOLEY & LARDNER

I.A. FILING DATE	PRIORITY DATE
10 SEP 99	11 SEP 98

DATE MAILED: 25 JUN 2001

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- |   |   |
|---|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.  | <input type="checkbox"/> Indication of Small Entity Status.                         |
| <input checked="" type="checkbox"/> Copy of the international application.  | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.         |
| <input type="checkbox"/> Copy of Article 19 amendments.   | <input type="checkbox"/> Other:   |
| <input type="checkbox"/> Priority Document.   |   |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.     |   |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. |   |
2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- |   |   |
|---|---|
| <input type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Copy of the international application. |
|---|---|
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- |  |  |
|--|--|
| <input type="checkbox"/> a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.   |  |
| <input type="checkbox"/> The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.   |  |
| <input type="checkbox"/> b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  |  |
| <input checked="" type="checkbox"/> c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date. |  |
| <input type="checkbox"/> The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.   |  |
| <input checked="" type="checkbox"/> d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).  |  |
4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☒ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☒ PCT/DO/EO/920

Winston M. Alvarado

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-6421

COMMUNICATIONS DEPARTMENT	
Due:	8/25/01
Drop Date (DD):	
1st Filing:	6/29/01
Initials:	h. MDC Entered